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NOTICE OF ALLOWANCE AND FEE(S) DUE

26936 7590 04/16/2008

SHOEMAKER AND MATTARE, LTD 10 POST OFFICE ROAD - SUITE 110

SILVER SPRING, MD 20910

EXAMINER COOLEY, CHARLES E

PAPER NUMBER ARTHNIT

1707 DATE MAILED: 04/16/2008

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO 10/533,403 05/23/2005 Robert Carrington Smith 5653 2207

TITLE OF INVENTION: METHOD AND APPARATUS FOR CLEANING FLUIDS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$720 | \$300 | \$0 | \$1020 | 07/16/2008 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| indicated unless correct maintenance fee notifica | ed below or directed otl | herwise in Block 1, by | (a) specifying a new corn | espondence address te: A certificate of e(s) Transmittal. Th | ; and/o mailin | r (b) indicating a sepa g can only be used for ficate cannot be used for | correspondence address as rate "FEE ADDRESS" for r domestic mailings of the |
|--|---|---|---|---|--|---|--|
| 26996 7590 64/16/2008 SHOEMAKER AND MATTARE, LTD 10 POST OFFICE ROAD - SUITE 110 SILVER SPRING, MD 20910 | | | | Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. Levely certify that this Fee(s) Transmittal is being deposited with the United States Potals Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FIEE address above, or being facsimal transmitted to the USPIO (251) 272-2885, on the date indicated below. | | | |
| | | | | | | | |
| | | | | | | | (Signature) |
| | | | | | | | (Date) |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTO | 2 | ATTC | RNEY DOCKET NO. | CONFIRMATION NO. |
| 10/533,403 | 05/23/2005 | | Robert Carrington Smitl | zton Smith 5653 | | 5653 | 2207 |
| | : METHOD AND APPA | | | 1 | | 1 | . |
| APPLN, TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | | E FEE | TOTAL FEE(S) DUE | DATE DUE |
| nonprovisional | YES | \$720 | \$300 | \$0 | | \$1020 | 07/16/2008 |
| EXAM | | ART UNIT | CLASS-SUBCLASS | J | | | |
| | CHARLES E | 1797 | 494-049000 | | | | |
| I. Change of correspondence address or indication of 'Fee Address' (37 CFR 1.8a.) Change of correspondence address (or Change of Correspondence Address form PTO-8B/122) attached. J Fee Address' Indication or 'Fee Address' Indication form PTO-8B/12 or more recent) attached. Use of a Custome Number is required. | | | or agents OR, alternat (2) the name of a sing registered attorney or 2 registered patent att listed, no name will b | (1) the names of up to 3 registered patent attorneys c agents OR, districtatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name with per patent. | | | |
| PLEASE NOTE: Un recordation as set fort (A) NAME OF ASSI | less an assignee is ident th in 37 CFR 3.11. Comp GNEE | ified below, no assigned pletion of this form is NO | (B) RESIDENCE: (CIT | patent. If an assign a assignment. Y and STATE OR 0 | COUN | TRY) | ocument has been filed for |
| Please check the appropr | riate assignee category or | categories (will not be p | orinted on the patent): | Individual C | orporat | ion or other private gro | up entity Government |
| 4a. The following fee(s) Issue Fee | are submitted: | 4 | 4b. Payment of Fee(s): (Ple A check is enclosed. | ase first reapply a | ny pre | lously paid issue fee s | shown above) |
| | No small entity discount | permitted) | Payment by credit ca | rd. Form PTO-203 | B is att | sched. | |
| Advance Order - | # of Copies | | The Director is hereb overpayment, to Dep | y authorized to cha osit Account Numb | rge the | required fee(s), any def (enclose ar | ficiency, or credit any n extra copy of this form). |
| 5. Change in Entity Sta | itus (from status indicate as SMALL ENTITY stati | | ☐ b. Applicant is no lo | | | | |
| NOTE: The Issue Fee an interest as shown by the | d Publication Fee (if req | uired) will not be accept ites Patent and Trademar | ed from anyone other than k Office. | the applicant; a reg | istered | attorney or agent; or th | e assignee or other party in |
| Authorized Signature | | | | Date | | | |
| Typed or printed name | | | Registration No. | | | | |
| This collection of inform an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223 | nation is required by 37 C tiality is governed by 35 d application form to the ions for reducing this bu /irginia 22313-1450. DC 313-1450. | CFR 1.311. The informat i U.S.C. 122 and 37 CFF c USPTO. Time will var rden, should be sent to t D NOT SEND FEES OR | ion is required to obtain or 1.14. This collection is e y depending upon the indu- he Chief Information Offi- COMPLETED FORMS | retain a benefit by stimated to take 12 vidual case. Any co er, U.S. Patent and O THIS ADDRES | the pub minute ommen Trader S. SEN | lic which is to file (and s to complete, including ts on the amount of time nark Office, U.S. Depa D TO: Commissioner f | by the USPTO to process) g gathering, preparing, and ne you require to complete utment of Commerce, P.O. for Patents, P.O. Box 1450, |

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| 26936 7 | 590 04/16/2008 | | EXAM | IINER |
| SHOEMAKER AND MATTARE, LTD | | | COOLEY, C | HARLES E |
| 10 POST OFFICE ROAD - SUITE 110 | | | ART UNIT | PAPER NUMBER |
| SILVER SPRING | , MD 20910 | | 1797 | |

DATE MAILED: 04/16/2008

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 459 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 459 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability

| Application No. | Applicant(s) | | | |
|-------------------|--------------------------|--|--|--|
| 10/533,403 | SMITH, ROBERT CARRINGTON | | | |
| Examiner | Art Unit | | | |
| Charles E. Cooley | 1797 | | | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- 1. This communication is responsive to the amendment filed 24 JAN 2008.
- The allowed claim(s) is/are 20-22,24,26-31,33,34,37,38 and 40-44.
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) 🔯 All b) ☐ Some* c) ☐ None of the:
 - 1. T Certified copies of the priority documents have been received.
 - 2. Certified copies of the priority documents have been received in Application No.
 - 3. X Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) hereto or 2) to Paper No./Mail Date
 - (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6.

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- 1. | Notice of References Cited (PTO-892)
- 2. Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08).
- Paper No./Mail Date
- 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date
- 7. X Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance
- Other .

/Charles F. Cooley/ Primary Examiner, Art Unit 1797